## OPERATIONAL STABILITY OF RUBIDIUM AND CESIUM FREQUENCY STANDARDS

John E. Lavery Goddard Space Flight Center

### INTRODUCTION

In the course of testing various rubidium and cesium frequency standards under operational conditions for use in NASA tracking stations, about 55 unit-years of relative frequency measurements for averaging times from 10 to 10<sup>7</sup> s have been accumulated at Goddard Space Flight Center (GSFC). Statistics on the behavior of rubidium and cesium standards under controlled laboratory conditions have been published by many institutions (see example, Ref. 1), but it was not known to what extent the lesser controlled environments of NASA tracking stations affected the performance of the standards. The purpose of this report is to present estimates of the frequency stability of rubidium and cesium frequency standards under operational conditions based on the data accumulated at GSFC.

Serial no. or designation	Manufacturer					
Rb 107	Varian Associates					
Rb 136	Varian Associates					
Rb 138	Varian Associates					
Cs 110	Hewlett-Packard Co.					
Cs 136	Hewlett-Packard Co.					
Cs 137	Hewlett-Packard Co.					
Cs 138	Hewlett-Packard Co.					
Cs 139	Hewlett-Packard Co.					
Cs 152	Hewlett-Packard Co.					
Cs 182	Hewlett-Packard Co.					
Cs 185	Hewlett-Packard Co.					
Cs 186	Hewlett-Packard Co.					
HM:						
H-10 no. 2	Varian Associates					
NX-1	(a)					

Table 1.	
Atomic Frequency Standards	Used
in Experiments.	

<sup>a</sup>An experimental hydrogen maser developed at GSFC. See Ref. 2.

### DATA DESCRIPTION

The three rubidium gas cells (designated Rb) and nine cesium beam frequency standards (Cs) on which the measurements were made, as well as the two hydrogen masers (HM) used as references for many of the tests, are listed in Table 1 along with their serial numbers or designations and their manufacturers. During the tests the standards were kept in a laboratory at GSFC. Except for the shielding built into the standards themselves, there was no special control of the ambient magnetic, electric, vibration, and temperature conditions. The ambient magnetic and electric conditions were typically noisy. The standards were driven by ac power and were in no way isolated by transformers. Vibration from nearby air-conditioning equipment and from trucks at a nearby loading platform was not shielded in any way. The ambient temperature was typically between 298 and 303 K. There were, however, several brief excursions to temperatures as low as 291 K and as high as 313 K, due to equipment problems. These conditions are less controlled than those in the NASA tracking stations. Hence the stabilities of the standards when operating in the tracking stations should be at least as good as the stabilities calculated in this paper.

The measurements made on the standards consisted of average relative frequency measurements for varying averaging times. In some of the data sets, average relative frequency measurements were missing or were bad because of ac power failure or recorder failure. All such points were a posteriori linearly interpolated from the nearest earlier (in epoch time) good average relative frequency measurement and the nearest later (in epoch time) good average relative frequency measurement.

The total number of measurements made for all types of data used in this report is given in Table 2. Data sets are said to be of the same type when the following parameters are the same for each set: test unit,<sup>1</sup> reference unit, duration or averaging time  $\tau_0$  of each average relative frequency measurement, and dead time *d* between successive measurements (that is, the time during which no measurement was taken). The servo time constants are indicated only for the cesium standards and only when  $\tau_0 \leq 1000$  s. The difference in effect of a 10- and a 60-s time constant for  $\tau_0 \leq 3600$  s can be neglected because the time constants in such cases are too small with respect to standards to have an appreciable effect. The rubidium standards tested all have a fixed servo time constant which is on the order of 1 ms.

Neither temperature effects nor long-term frequency drift was removed from the data before analysis because the object of the tests was to measure the stability of the frequency standards under operational conditions, where both temperature flucuations and long-term frequency drift are present.



<sup>&</sup>lt;sup>1</sup>Although there are sometimes significant differences in the frequency stabilities of various rubidium standards, the three rubidium standards listed in Table 1 all had mutually close stabilities. For this reason, these rubidium standards will be considered to be identical. Because the nine cesium standards listed in Table 1 all had mutually close stabilities, they too will be considered to be identical.

Table 2 Average Relative Frequency Data Sets.

	Type of data	Number of	Number of measurements			
Test unit	Reference unit	Averaging timeDead time $\tau_0$ , sd, s		data sets m	Total <sup>a</sup>	Interpolated
Rb Rb Rb Rb Rb Rb Rb Cs Cs (10-s TC) Cs (10-s TC) Cs (10-s TC) Cs (10-s TC) Cs (60-s TC)	RbCs (10-s TC)Cs (10-s TC)Cs (10-s TC)HMHMHMHMHMHMHMHMHMHMHMHMHMHMHMHMHMHM	3 600 10 100 100 100 100 100 3 600 3 600 3 600 10 100 100 100 100 100 100 100 100 1	0.0 2.3 2.2 2.7 2.3 2.2 2.7 .0 .0 .0 .2 .2 .2 2.3 .2 2.3 .2 2.2 2.7 0	2 1 1 1 1 1 1 1 1 9 7 3 8 8 8 8 8 8 8 8 8 8 8 8 8	3 090 1 076 538 223 8 473 6 405 5 126 13 320 8 851 4 841 4 871 4 787 4 634 1 904 4 706 2 496 4 804 692 37 404	0 18 1 0 67 16 15 308 263 0 11 25 0 2 0 2 0 3 0 1391
Cs Cs	HM	604 800	.0	1	88	6

TC = time constant. <sup>a</sup>Total number of measurements for all m data sets, including the interpolated measurements.

### STATISTICAL ANALYSIS

Let there be given a set of *m* identical test frequency standards and a set of *m* identical reference frequency standards. Let  $\phi_n$  (t),  $1 \le n \le m$ , denote the instantaneous fluctuations (measured in time units) of the epoch time output of the *n*th reference standard. Let  $y_n$  (t) be the instantaneous (fractional) frequency fluctuation of the *n*th test standard compared with the *n*th reference standard; i.e.,

$$v_n(t) \equiv \frac{d\phi_n(t)}{dt} \tag{1}$$

Let  $y_n$  (t) be the average relative (fractional) frequency fluctuation of the *n*th test standard compared with the *n*th reference standard:

$$\overline{y}_n(t) = -\frac{1}{\tau} \int_t^{t+\tau} y_n(t) dt = \frac{\phi_n(t+\tau) - \phi_n(t)}{\tau}$$
(2)

The constant  $\tau$  is called the averaging time of y(t). The Allan standard deviation  $\sigma(2, T, \tau)$  of the frequency fluctuations of the set of test standards compared with the set of reference standards is defined to be (Ref. 3)

$$\sigma(2, T, \tau) = \sqrt{\frac{1}{m} \sum_{n=1}^{m} \langle \operatorname{var} \left[ \overline{y}_n(t+T) - \overline{y}_n(t) \right] \rangle}$$
(3)

where the symbol  $\langle \rangle$  denotes infinite epoch time average. The analysis of all data listed in Table 2 consisted in the calculation of an estimate, which is denoted by  $s(2, T, \tau)$  in the following manner.

Taking any type of data from Table 2, let the number of average relative frequency measurements in the *n*th data set,  $1 \le n \le m$ , be  $m_n$ . Denote this *n*th set of average relative frequency measurements by  $y_n(i) = 1$ . For  $i = 1, 2, ..., m_n$  -1, denote the variance of the two average relative frequency measurements  $y_n(i)$  and y

$$v_n(i) = \frac{\left[\overline{y}_n(i+1) - \overline{y}_n(i)\right]^2}{2}$$
(4)

The square root of the average over both  $i (1 \le i \le m_n - 1)$  and  $n (1 \le n \le m)$  of these  $v_n$ (*i*) is the desired estimate of  $\sigma(2, \tau_0 + d, \tau_0)$ :

$$s(2, \tau_0 + d, \tau_0) = \sqrt{\frac{\sum_{n=1}^{m} \sum_{i=1}^{m-1} v_n(i)}{\sum_{n=1}^{m} (m_n - 1)}}$$
(5)

From the original data sets  $y_n \stackrel{m}{\underset{i=1}{\overset{i=1$ 

$$\bar{y}_{n}(i;1) = \frac{\bar{y}_{n}(i+1) + \bar{y}_{n}(i)}{2}$$
(6)

 $i = 1, 2, ..., m_n - 1$  and n = 1, 2, ..., m. Denote the variance of  $y_n$  (i; 1) and  $y_n$  (i + 2; 1) by  $v_n$  (i; 1):

$$v_n(i;1) = \frac{\left[\overline{y}_n(i+2;1) - \overline{y}_n(i;1)\right]^2}{2}$$
(7)

 $i = 1, 2, ..., m_n - 3$  and n = 1, 2, ..., m. Estimate  $\sigma(2, \tau + d, \tau_1)$  by<sup>2</sup>

$$s(2, \tau_1 + d, \tau_1) = \sqrt{\frac{\sum_{n=1}^{m} \sum_{i=1}^{m-3} v_n(i; 1)}{\sum_{n=1}^{m} (m_n - 3)}}$$
(8)

Let k be the exponent of the largest power of 2 contained in any of the  $m_n$ ,  $1 \le n \le m$ . For  $j = 2, 3, \ldots, k - 1$ , the data set  $\{\overline{y}_n(i;j)\}_{\substack{i=1\\j=1}}^{m_n-2^j+1}$  with averaging time  $\tau_j = 2^j \tau_0$  and dead time d is successively calculated from the data set  $\{\overline{y}_n(i;j-1)\}_{i=1}^{m_n-2^{j-1}+1}$  by pairwise averaging:

$$\overline{y}_{n}(i;j) = \frac{\overline{y}_{n}(i+2^{j-1};j-1) + \overline{y}_{n}(i;j-1)}{2}$$
(9)

 $i = 1, 2, \dots, m_n - 2^j + 1; n = 1, 2, \dots, m; j$  fixed. Denote the variance of  $\overline{y}_n(i;j)$  and  $\overline{y}_n(i+2^j;j)$  by  $v_n(i;j)$ :

$$v_n(i;j) = \frac{[\overline{y}_n(i+2^j;j) - \overline{y}_n(i;j)]^2}{2}$$
(10)

<sup>2</sup>Throughout this paper the convention is adopted that whenever a summand, e.g.,  $m_n - 3$  in  $\sum_{n=1}^{m} (m_n - 3)$ , is less than zero, it is treated as zero; and whenever a summation, e.g.,  $\sum_{i=1}^{m_n-3} v_n(i; 1)$ , has an upper limit that is less than the lower limit, it also is treated as zero.

 $i = 1, 2, ..., m_n - 2^{j+1} + 1$  and n = 1, 2, ..., m. Estimate  $\sigma(2, \tau_j + d, \tau_j)$  by

$$s(2,\tau_j+d,\tau_j) = \sqrt{\frac{\sum_{n=1}^{m} \sum_{i=1}^{m_n-2^{j+1}+1} v_n(i;j)}{\sum_{n=1}^{m} (m_n - 2^{j+1} + 1)}}$$
(11)

An example of this procedure for zero dead time is presented in Figure 1. The quantity v represents the variance between the ordinates of the two lines to which the dotted line near v points.









### RESULTS

For each type of data listed in Table 2 and for each averaging time  $\tau_j = 2^j \tau_0$ ,  $0 \le j \le k - 1$ ( $\tau_0$  and k change with the type of data), the estimate  $s(2, \tau_j)$  of  $\sigma(2, \tau_j + d, \tau_j)$  was calculated.<sup>3</sup> The results are presented in Table 3 and Figure 2 for all data involving a rubidium standard as either the test or the reference unit and in Table 4 and Figure 3 for the cesium versus cesium and cesium versus hydrogen maser data.

In order to use the data in Tables 3 and 4 to estimate the frequency stability of the rubidium and cesium standards tested, rather than the relative frequency stability of a comparison of two of these standards or of a comparison of one of these standards to a hydrogen maser, the following procedure is used. Denote the Allan standard deviations of the test standard versus a hypothetical perfect standard, the reference standard by  $\sigma_T (2, \tau + d, \tau), \sigma_R (2, \tau + d, \tau), \text{ and } \sigma_{T-R} (2, \tau + d, \tau)$  respectively. Because the variances  $\sigma_T^2(2, \tau + d, \tau)$  and  $\sigma_2 (2, \tau + d, \tau)$  are linear functions (in fact, weighted integrals) of the respective power spectral densities of the test and reference standards (Ref. 3); and because the power spectral densities of each of the standards, the following relation occurs:

$$\sigma_{T-R}^2(2, \tau + d, \tau) = \sigma_T^2(2, \tau + d, \tau) + \sigma_R^2(2, \tau + d, \tau)$$
(12)

For comparisons of two identical standards (rubidium standard versus rubidium standard and cesium standard versus cesium standard),  $\sigma_R(2, \tau + d, \tau) = \sigma_T(2, \tau + d, \tau)$ . Hence, from relation (12),

$$\sigma_T(2, \tau + d, \tau) = \frac{\sigma_{T-R}(2, \tau + d, \tau)}{\sqrt{2}}$$
(13)

For all data for which a hydrogen maser was used as a reference, it is assumed that the instabilities of the maser were sufficiently small so as to have

$$\sigma_T(2,\tau+d,\tau) \approx \sigma_{T\cdot R}(2,\tau+d,\tau) \tag{14}$$

The normalized standard deviation  $\sigma_T(2, \tau, \tau)$  can be calculated from  $\sigma_T(2, \tau + d, \tau)$  by the relation

$$\sigma_T(2,\tau,\tau) = \frac{\sigma_T(2,\tau+d,\tau)}{\sqrt{B_2(r,\mu)}}$$
(15)

where  $B_2(\tau, \mu)$  is a bias function (defined in ref. 4);  $\tau = (\tau + d)/\tau$ ; and  $\mu$ , representing the type of noise of the standard for the fixed averaging time  $\tau$  and fixed dead time d, is determined from

$$\sigma_{\tau}(2,\tau+d,\tau) \propto \tau^{\mu/2} \tag{16}$$

<sup>3</sup>The analysis was carried out by programs E00016 and E00036 of the GSFC Computer Program Library. Program E00016 is for input relative phase data; program E00036 is for input relative frequency data. Although program E00016 reads relative phase data as input, its output is the Allan Standard deviation of relative frequency  $s(2, \tau_j + d, \tau_j)$  defined in eqs. (5), (8), and (11). These two programs are based on a program written by David W. Allan of the National Bureau of Standards, Boulder, Colo.

# Table 3. Rubidium Standard Frequency Stability

Type of data				Type of data				Type of data						
Test unit	Reference unit	τ, s	<i>d</i> , s	×10 <sup>-12</sup>	Test unit	Reference unit	τ, s	d, s	$s(2, \tau + d, \tau), \\ \times 10^{-12}$	Test unit	Reference unit	τ, s	d, s	$s(2, \tau + d, \tau), \\ \times 10^{-12}$
Rb	Rb	3 600	0.0	1.128			3 200	2.2	2.023			3 200	22	1.881
		7 200	0.	.874		1	6 400	2.2	1.405			6 400	22	1 447
		14 400	0.	.991	1		12 800	2.2	.708			12 800	2.2	877
		28 800	0.	1.254			25 600	2.2	.387			25 600	2.2	.783
		57 600	0.	1.481	Rb	Cs (10-s TC)	1 000	2.7	4.015	Rb	НМ	1 000	2.7	1.057
		115 200	0.	1.542			2 000	2.7	2.736			2 000	2.7	.917
	1	230 400	0.	1.493			4 000	2.7	2.092			4 000	2.7	.782
		460 800	0.	1.559			8 000	2.7	1.553			8 000	2.7	.677
		921 600	0	1.893			16 000	2.7	1.310	l		16 000	2.7	.721
DI		1 843 200	0.	2.211			32 000	2.7	1.466			32 000	2.7	.829
RD	US (10-S IC)	10	2.3	32.092			64 000	2.7	1.950			64 000	2.7	.717
		20	2.3	26.099	Rb	НМ	10	2.3	22.844			128 000	2.7	.857
		40	2.3	18.610			20	2.3	29.558			256 000	2.7	1.011
		80	2.3	12.680			40	2.3	39.497	Rb	HM	3 600	.0	2.633
		100	2.3	9.782			80	2.3	31.205			7 200	.0	2.783
		520	2.3	0.584			160	2.3	5.432			14 400	.0	2.691
		1 280	2.3	4.452			320	2.3	5.673			28 800	.0	2,368
		2 560	2.5	5 380			1 3 9 0	2.3	2.404			57 600	0.	1.867
		5 120	2.3	10.868			2 5 6 0	2.3	1.945			115 200	0.	1.740
Rb	Cs (10-s TC)	100	2.2	9 972	Rh	нм	2 300	2.3	1.125	[		230 400	0.	1.784
. 1	(=====;;)	200	2.2	7 746		11141	200	2.2	8569			460 800	0.	1.629
		400	2,2	5.708			400	2.2	5 308			721 000 1 843 200	.0	1.03/
		800	2.2	4.177			800	2.2	3 877			3 686 400	.u 0	1.700
		1 600	2.2	2.723			1 600	2.2	2.587			7 372 800	.0 0	2.700 A 549
										1		1 212 000		7.577

Table 4. Cesium Standard Frequency Stability.

Type of data				a(2 - + d -)	Type of data				$s(2\tau + d\tau)$	Type of data				$s(2, \tau + d, \tau),$
Test unit	Reference unit	τ, \$	d, s	$\times 10^{-12}$	Test unit	Reference unit	7, S	d, s	×10 <sup>-12</sup>	Test unit	Reference unit	τ, \$	d, s	×10 <sup>-12</sup>
	C.	3 600	0.0	1.825			256 000	0.2	.190	Cs (60-s TC)	нм	1 000	0.2	2.199
Ç.,	0.5	7 200	.0	1.266	Cs (60-s TC)	ЯM	10	.2	5.391			2 000	.2	1.523
		14 400	.0	.918			20	.2	4.524		i	4 000	.2	1.099
		28 800	.0	.728			40	.2	4.510			8 000	.2	.790
	Ì	57 600	.0	.594			80	.2	4.529			16 000	.2	.590
		115 200	.0	,546			160	.2	4.140			32 000	.2	.435
		230 400	.0	.579		,	320	.2	3.149			64 000	.2	.360
		460 800	0.	.616			640	.2	2.287	1		128 000	.2	.343
		921 600	.0	.440			1 280	.2	1.786	G (() TO)		256 000	2.2	2995
		1 843 200	.0	.333			2 560	,2	1.201	Cs (60-s 1C)	нм	1 000	2.1	2,005
		3 686 400	0. [	.352	Cs (60-s TC)	НМ	10	2.3	6.080			2 000	2.7	2.305
Cs (10-s TC)	HM	10	.2	14.269			20	2.3	5.321			4 000	2.7	1 542
		20	.2	11.743			40	2.3	5.582			16 000	2.1	1.042
		40	.2	8.941			80	2.3	5.8/5			32,000	27	.672
		80	.2	6.508			160	2.3	5,912			64 000	27	.409
		160	.2	4.721			320	2.3	2 6 2 6			128 000	2.7	.262
-		320	.2	3.232			1 290	2.5	1 217			256 000	2.7	.340
		640	.2	1.886			2 560	2.5	1943	Cs	нм'	3 600	.0	1.777
		1 280	.2	1.484	C- (CA TC)	UM	100	2.3	4 1 4 9		1104	7 200	.0	1.249
		2 360	.4	1.740	(S (00-S IC)	F1.4L	200	.2	3 687	]		14 400	.0	.923
Cs (10-s TC)	HM	100	.2	0.014		ļ	400	.2	2 962			28 800	.0	.732
		200	.2	4.332		j	800		2144			57 600	.0	.615
		900	2	3.030			1 600	.2	1.535		}	115 200	.0	.572
		1 600	2	1.616	Í		3 200	2	1.072			230 400	.0	.566
		2 200	.2	1 218			6 400	.2	.760			460 800	.0	.570
		6 400	2	936			12 800	.2	.580			921 600	.0	.556
		12 800	1 7	690			25 600	.2	.595			1 843 200	0.	.555
		25.600	2	.388	Cs (60-s TC)	НМ	100	2.2	5.699			3 686 400	.0	.590
COLLETT	нм	1 000	2	1.934			200	2.2	5.535			7 372 800	0.	.612
	1111	2 000	2	1.413			400	2.2	4.840	Cs	HM I	604 800	.0	.464
		4 000	2	1.073			800	2.2	3.690		1	1 209 600	0.	.337
	1	8 000	1.2	.838	11		1 600	2.2	2.750			2 419 200	0.	.239
		16 000	.2	.729			3 200	2.2	1.931			4 838 400	0.	.181
		32 000	.2	.674			6 400	2.2	1.318			9 676 800	0.	.167
Ì		64 000	.2	.481			12 800	2.2	1.003			19 353 600	.0	,114
		128 000	.2	.459		1	25 600	2.2	.883					
]		l	1		lí			1					L	

It is of interest to note that for the  $\tau$  and  $\mu$  of the data analyzed in this report, B<sub>2</sub>( $\tau$ ,  $\mu$ ) differs from unity by less than 0.1 percent and can be ignored. Hence, for the data in this report,

$$\sigma_{\tau}(2,\tau,\tau) \approx \sigma_{\tau}(2,\tau+d,\tau) \tag{17}$$

Of course, relation (17) is an exact equality whenever d = 0.

Using the estimates  $s(2, \tau + d, \tau)$  of  $\sigma_{T,R}(2, \tau + d, \tau)$  from Figures 2 and 3 in relations (13) and (14) and using relation (17), the standard deviations  $\sigma_T(2, \tau, \tau)$  of the rubidium and cesium standards tested can be estimated. These estimates of  $\sigma_T(2, \tau, \tau)$  are presented in Figure 4 as the "operational environment" curves. Also shown in Figure 4 are curves taken from References 1 and 5 representing the performance of rubidium and cesium standards in a "controlled environment." By "controlled environment" is meant an experimental environment shielded from magnetic, electric, vibration, and temperature effects much more than the "operational" environment in which the data presented in Figures 2 and 3 were taken.<sup>4</sup> The upper curve for rubidium standards under a controlled environment and the curve for cesium standards under a controlled environment and the curve for cesium standards under a controlled environment and the curve for cesium standards under a controlled environment in Figure 4 are taken from Reference 1 and represent the measured performance of Hewlett-Packard rubidium and cesium standards under controlled conditions.

### CONCLUSIONS

From Figure 4 it is apparent that an operational environment degrades the performance of the rubidium standards (by up to one order of magnitude) for frequency averaging times between 10 and  $10^3$  s and that it degrades the performance of the cesium standards (by up to one order of magnitude) for frequency averaging times between  $3 \times 10^4$  and  $2 \times 10^7$  s. For all other averaging times in the range covered by the data in Figure 4, the stabilities of the standards are not degraded by the operational conditions.

### ACKNOWLEDGEMENTS

Special credit is due to S. C. Wardrip and John H. Roeder who obtained all the relative frequency data used in this report. Thanks are due to Andrew R. Chi who supervised this program of relative frequency data acquisition as well as to Harry E. Peters, Thomas E. McGunigal, and Edward H. Johnson who operated the experimental hydrogen masers.

<sup>4</sup>For Reference 1, these conditions were verified by G. M. R. Winkler (private communication). It is assumed that the data presented in Reference 5 were obtained in a similarly controlled environment.







87 I



Figure 3. Cesium standard relative frequency stability.



Figure 4. Rubidium and cesium standard frequency stabilities.

### REFERENCES

- (1) G. M. R. Winkler. "Path Delay, Its Variations, and Some Implications for the Field Use of Precise Frequency Standards." *Proc. IEEE* 60(5): 522-529, 1972.
- (2) H. E. Peters, T. E. McGunigal, and E. H. Johnson. "Hydrogen Standard Work at Goddard Space Flight Center." *Proc. 22nd Annu. Symp. Freq. Control*, U. S. Army Electronics Command (Fort Monmouth, N.J.), 1968, pp. 464-492.
- (3) J. A. Barnes, et al. "Characterization of Frequency Stability." *IEEE Trans. Instrum. Meas.* 20(2): 105-120, 1971.
- (4) J. A. Barnes. Tables of Bias Functions, B1 and B2, for Variances Based on Finite Samples of Processes With Power Law Spectral Densities. NBS Tech. Note 375, Jan. 1969.
- (5) A. O. McCoubrey. "A Survey of Atomic Frequency Standards," *Proc. IEEE* 54(2): 116-135, 1966.